

WORKMAN / NYDEGGER

1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Phone: (801) 533-9800
Fax: (801) 328-1707

RECEIVED
CENTRAL FAX CENTER

JUL 28 2004

FAX TRANSMISSION COVER SHEET

OFFICIAL

Date: July 28, 2004
To: IDS
United States Patent & Trademark Office
Fax: (703) 872-9306
Phone:
From: R. Burns Israelsen
Our File: 15436.135.1

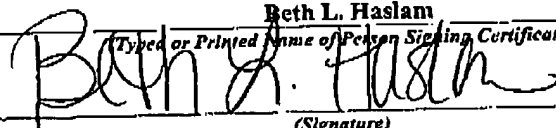
YOU SHOULD RECEIVE 8 PAGE(S), INCLUDING THIS COVER SHEET. IF
YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL 801-533-9800

Comments:

Please see attached.

PRIVILEGED AND CONFIDENTIAL


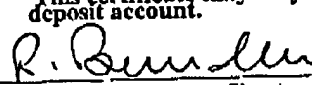
THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS ATTORNEY PRIVILEGED AND CONFIDENTIAL. INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No. 15436.135.1
Applicant(s): Johnny Zhong, et al.			
Application No. 10/724,426	Filing Date 11/26/2003	Examiner Unknown	Group Art Unit 2872
Invention: OPTICAL ADD/DROP MODULE			
			RECEIVED CENTRAL FAX CENTER JUL 28 2004
OFFICIAL			
I hereby certify that this <u>Information Disclosure Statement</u> (Identify type of correspondence)			
is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 872-9306</u>)			
on <u>July 28, 2004</u> (Date)			
<div style="text-align: center;"><u>Beth L. Haslam</u> (Typed or Printed Name of Person Signing Certificate)  (Signature)</div>			
Note: Each paper must have its own certificate of mailing.			

P18/REV02

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 15436.135.1	
In Re Application Of: Johnny Zhong, et al.					
Application No. 10/724,426	Filing Date 11/26/2003	Examiner Unknown	Customer No. 022913	Group Art Unit 2872	Confirmation No. 1047
Title: OPTICAL ADD/DROP MODULE					
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

P10A/REV03

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 15436.135.1	
In Re Application: Johnny Zhong, et al.					
Application No. 10/724,426	Filing Date 11/26/2003	Examiner Unknown	Customer No. 022913	Group Art Unit 2872	Confirmation No. 1047
OPTICAL ADD/DROP MODULE					
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))					
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.					
Certificate of Transmission by Facsimile*			Certificate of Mailing by First Class Mail		
<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. (703) 872-9306)</p><p>07/28/04 (Date)</p><p> Signature</p><p>Beth L. Haslam Typed or Printed Name of Person Signing Certificate</p></div>			<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p><p>_____ Signature of Person Mailing Correspondence</p><p>_____ Typed or Printed Name of Person Mailing Certificate</p></div>		
<p>*This certificate may only be used if paying by deposit account.</p> <p> Signature</p> <p>R. BURNS ISRAELSEN Attorney for Applicant Registration No. 42,685</p> <p style="margin-top: 20px;">Dated: July 28, 2004</p>					
cc:					

P10A/REV03

**RECEIVED
CENTRAL FAX CENTER****JUL 28 2004****PATENT APPLICATION
Docket No: 15436.135.1****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Johnny Zhong, et al.

Serial No.: 10/724,426

Confirmation No.: 1047

Filed: November 26, 2003

For: OPTICAL ADD/DROP MODULE

Examiner: Unknown

OFFICIALArt Unit
2872**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, patent applications, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed references are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

DATED this 28 day of July, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913

RBI: blh
W:\15436\135.1\BLM0000002772V001.doc

Sheet 1 of 2

Form PTO-1449

Applicant: Johnny Zhong, et al.
Serial No.: 10/724,426
Filing Date: November 26, 2003
For: OPTICAL ADD/DROP MODULE

Att'y Docket No.: 15436.135.1
Group: 2872

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTRelated U.S. Patent Applications

<u>Examiner Initial*</u>	<u>Inventor(s)</u>	<u>Application Number</u>	<u>Filing Date</u>
___ 1	Zhong, et al.	10/724,471	11/26/2003
___ 2	Zhong, et al.	10/724,428	11/26/2003

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 3	5,812,306	09/22/1998	Mizrahi
___ 4	5,652,814	09/29/1997	Pan, et al.
___ 5	5,754,718	05/19/1998	Duck, et al.
___ 6	6,388,783	05/14/2002	Weller-Brophy

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
------------------------------	----------------------------	-----------------------------	-------------------------------------

NONE

Examiner: _____ Date Considered: _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

Form PTO-1449

Applicant: Johnny Zhong, et al.
Serial No.: 10/724,426
Filing Date: November 26, 2003
For: OPTICAL ADD/DROP MODULE

Att'y Docket No.: 15436.135.1
Group: 2872

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial*

NONE

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

WA15436135.1NBLM0000002771V001.doc

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.